

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Schwartz, et al.

Title: METHOD AND SYSTEM FOR FACILITATING INTERACTIVE  
COMMUNICATION

App. No.: 09/866,373

Filed: 05-25-2001

Examiner: Unknown

Group Art Unit: 2183

Atty. Dkt. No. SMIO.0100006

Attention: Box Missing Parts  
Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

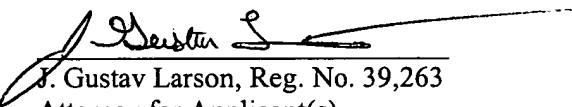
In response to the Notice to File Missing Parts of Application mailed July 26, 2001, please find enclosed herewith:

- ☒ a Transmittal Form;
- ☒ a copy of the Notice to File Missing Parts of Application;
- ☒ a Fee Transmittal Form;
- ☒ a copy of an Assignment to SoloMio Corp. with Recordation Form Cover Sheet (original filed with application);
- ☒ a return postcard.

Respectfully submitted,

Date

8-29-01

  
J. Gustav Larson, Reg. No. 39,263  
Attorney for Applicant(s)  
Simon, Galasso & Frantz, PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
(512) 336-8597 (phone)  
(512) 336-9155 (fax)



Section 11

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)  
Total Number of Pages in this Submission 7

Application Number: 09/866,373  
Filing Date: 05-25-01  
First Named Inventor: Schwartz, et al.  
Group Art Unit: 2183  
Examiner: Unknown  
Attorney Docket No.: SMIO.0100006

ENCLOSURES (check all that apply)

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Letter to Draftsperson<br><input type="checkbox"/> Formal Drawings (after initial filing)<br><input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Request for Corrected Assignment<br><input type="checkbox"/> Response to Notice to File Corrected Application Papers<br><input type="checkbox"/> Additional Enclosure(s) (please identify below): |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Remarks:

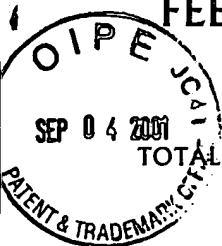
Firm Name	Simon, Galasso & Frantz, PLC P.O. Box 26503 Austin, Texas 78755-0503	
Signature of Applicant, Attorney, or Agent		
Name and Registration No.:	J. Gustav Larson, Reg. No. 39,263	Date: 8-29-01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 8-29-01.

Terri Alloway  
Typed or Printed Name

Signature



# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1269.00

Complete if Known

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge  
Indicated fees and credit any over payments to:

Deposit Account Number	50-1259
Deposit Account Name	Simon, Galasso & Frantz, PLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710 201 355	Utility filing fee	355.00	
106 320 206 160	Design filing fee		
107 490 207 245	Plant filing fee		
108 710 208 355	Reissue filing fee		
114 150 214 75	Provisional filing fee		

SUBTOTAL (1) (\$) 355.00

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 101	(-20 =) 81	9.00	729.00
Indep. 5	(-3 =) 2	40.00	80.00
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18 203 9	Claims in excess of 20	
102 80 202 40	Independent claims in excess of 3	
104 270 204 135	Multiple dependent claim	
109 80 209 40	Reissue independent claims over original patent	
110 18 210 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 809.00

Application Number	
Filing Date	
First Named Inventor	Schwartz, et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	SMIO.0100006

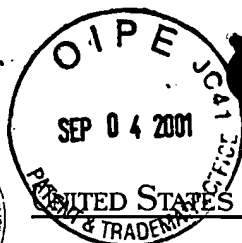
## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath	65.00	
127 50 227 25	Surcharge - late provisional filing fee or cover sheet		
139 130 139 130	Non-English specification		
147 2,520 147 2,520	For filing a request for reexamination		
112 920* 112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action		
115 110 215 55	Extension for reply within first month		
116 390 216 195	Extension for reply within second month		
117 890 217 445	Extension for reply within third month		
118 1,390 218 645	Extension for reply within fourth month		
128 1,890 228 945	Extension for reply within fifth month		
119 310 219 155	Notice of Appeal		
120 310 220 1550	Filing a brief in support of an appeal		
121 270 221 135	Request for oral hearing		
138 1,510 138 1,510	Petition to Institute a public use proceeding		
140 110 240 55	Petition to revive - unavoidable		
141 1,240 241 620	Petition to revive - unintentional		
142 1,240 242 620	Utility issue fee (or reissue)		
143 440 243 220	Design issue fee		
144 600 244 300	Plant issue fee		
122 130 122 130	Petitions to the Commissioner		
123 50 123 50	Petitions related to provisional applications		
126 240 126 240	Submission of Information Disclosure Stmt		
581 40 581 40	Recording each patent assignment per property (times number of properties)	40.00	
146 710 246 355	Filing a submission after final rejection (37 CFR 1.129(a))		
149 710 249 355	For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

SUBMITTED BY: Simon, Galasso & Frantz, PLC.		Complete (if applicable)	
Typed or Printed Name		J. Gustav Larson, Reg. No. 39,263	
Signature		Date	8-29-01
Deposit Account User ID			



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/866,373	05/25/2001	Richard L. Schwartz	SMIO.0100006

CONFIRMATION NO. 4418

27412  
SIMON, GALASSO & FRANTZ PLC  
P.O. BOX 26503  
AUSTIN, TX 78755-0503

## FORMALITIES LETTER



\*OC000000006345192\*

Date Mailed: 07/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$809.
  - \$729 for 81 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1229.

*A copy of this notice **MUST** be returned with the reply.*

*MTB*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/07/2001 MBERHE 00000037 501259 09866373

01 FC:201	355.00 CH
02 FC:205	65.00 CH
03 FC:203	729.00 CH
04 FC:202	80.00 CH